The state of the s

fli



PTO/SB/05 (03-01)

Please type a plus sign (+) inside this box -

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office U.S DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.		No.	(to be assigned)		
Fırst İr	nventor	Petr Hrebejk, et al			
Title	METHOD AND APPARATUS FOR META OBJECT FACILITY REPOSITORY EVENT NOTIFICATION				
F	- 14-11-4	-/ //-	EL 000300400110		

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Express Mail Label No. | EL839723196US Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 7. CD-ROM or CD-R in duplicate, large table or 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission 2. 🔲 Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) a. Computer Readable Form (CRF) 3. Specification [Total Pages (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix ACCOMPANYING APPLICATIONS PARTS - Background of the Invention **v**9. 🛛 - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings ( if filed) 10. 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Abstract of the Disclosure 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS 4. Drawing(s) (35 U.S.C.113) [Total Sheets 14 Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages 13. Preliminary Amendment a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. ☐ DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1 63(d)(2) and 1.33(b) or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. Other: Postcard 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_ Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS or 🖾 Correspondence address below ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) David B. Ritchie Name THELEN REID & PRIEST LLP P.O. Box 640640 Address City CA 95164-0640 San Jose State Zip Code Telephone 408.292.5800 Fax 408.287.8040 Country United States Marc S. Hanish Registration No. (Attorney/Agent) Name (Print/Type) 42.626 Date February 6, 2002 Signature

SUBTOTAL (3)

(\$)40

\*U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

\*\*or number previously paid, if greater, For Reissues, see above

	L	Complete if Known				
	Application Number	(to be assigned)				
	Filing Date	February 6, 2002				
First Named Inventor Examiner Name		Petr Hrebejk, et al.				
		(to be assigned)				
	Group / Art Unit	(to be assigned)	<del></del>			
	Attorney Docket No.	SUN-P6327	_			

TOTAL AMOUNT OF PAYMENT 2286 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES □ Check ☐ Credit card ☐ Money ☐ Other ☐ None Large Entity Entity Order Fee Fee Fee ☑ Deposit Account Fee Description Paid Code (\$) Code (\$) 105 130 205 65 Surcharge - late filing fee or oath Deposit 50-1698 Account 127 50 227 25 Surcharge - late provisional filing fee Number or cover sheet. 139 130 139 130 Non-English specification Deposit 147 2,520 147 2,520 For filing a request for reexamination THELEN REID & PRIEST LLP Account 112 Requesting publication of SIR prior to 920\* 112 920\* Name Examiner action The Commissioner is authorized to: (check all that apply) 113 1,840\* 113 1,840\* Requesting publication of SIR after ☐ Charge fee(s) indicated below ☐ Credit any overpayments Examiner action Charge any additional fee(s) during the pendency of this application 115 110 215 55 Extension for reply within first month Charge fee(s) indicated below, except for the filing fee 116 200 Extension for reply within second 400 216 to the above-identified deposit account **FEE CALCULATION** 117 920 217 460 Extension for reply within third month BASIC FILING FEE 118 1,440 218 720 Extension for reply within fourth Entity Small Entity Large 128 1,960 228 980 Extension for reply within fifth month Fee **Fee Description** Code (\$) Code (\$) Fee Paid 119 320 160 Notice of Appeal 201 370 Utility filing fee 740 101 740 120 320 220 160 Filing a brief in support of an appeal 206 165 Design filing fee 106 330 121 280 140 Request for oral hearing 221 107 510 207 255 Plant filing fee Petition to institute a public use 138 1.510 1.510 138 740 208 370 Reissue filing fee proceeding 108 140 114 160 214 Provisional filling fee 110 240 55 Petition to revive - unavoidable 141 1,280 640 241 Petition to revive - unintentional SUBTOTAL (1) (\$) 740 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 2. EXTRA CLAIM FEES 144 620 244 310 Plant issue fee Extra Fee from Fee 122 130 122 130 Petitions to the Commissioner Paid Claims below -20 Х Processing fee under 37 CFR 1.17 (q) Total Claims 43 23 18 414 123 123 50 Independent Submission of Information Disclosure -3 \*\* 13 Χ 84 1092 126 180 126 180 16 Recording each patent assignment Multiple ٥ 0 Х 0 40 581 40 581 40 per property (times number of properties) \$mall Entity Entity Large 146 740 246 370 Filing a submission after final rejection Fee Fee Fee (37 CFR § 1.129(a)) Fee Description Code (\$) Code (\$) 149 740 249 For each additional invention to be 370 103 18 203 Claims in excess of 20 examined (37 CFR § 1.129(b)) 42 102 84 202 Independent claims in excess of 3 179 740 279 370 Request for Continued Examination (RCE) 104 280 204 140 Multiple dependent claim, if not paid Request for expedited examination \*\* Reissue independent claims over 169 900 169 900 109 84 209 42 of a design application original patent \*\* Reissue claims in excess of 20 and 110 18 210 9 over original patent Other fee (specify) SUBTOTAL (2) (\$) 1506

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Marc S. Hanish	Registration No. Attorney/Agent)	42,626	Telephone	408 292 5800			
Signature	Martha	M		Date	February 6, 2002			

\*Reduced by Basic Filing Fee Paid

WARNING: Information on this form may become public. Credit card information should not be

included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, DC 20231